## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

:

Gerald M. Goupil, Jr. et al.

Appl. No.

**D.** 

Not Yet Known

Filed

Concurrently Herewith

Title

A HEATING, VENTILATION, AND AIR CONDITIONING SYSTEM

HAVING A FILM VALVE AND FILM FOR CONTROLLING AIR

**FLOW** 

Docket No.

DP-309551 (60408-394)

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 AND 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to Rule 97 and in compliance with Rule 56, Applicant hereby makes of record the items listed on the attached Form PTO/SB08A. Under the provisions set forth whereby the office waived the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent publication for all U.S. national patent applications filed after June 30, 2003, Attorney for applicant is not submitting a copy of the noted United States Patents and/or United States Publication noted on the attached PTO/SB08A. However, the Attorney for Applicant is providing the required copies of all the noted Foreign cited patents and copies of any and all noted publications for the offices review and file.

None of the noted items appearing on the attached sheets of PTO/SB08A disclose the inventive concepts claimed in the subject application.

Should there be a fee associated with this document, please charge it to Deposit Account No. 08-2789. A duplicate of this paper is enclosed.

Respectfully submitted,

**HOWARD & HOWARD ATTORNEYS, P.C.** 

February 17, 2004

Date

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Enclosures:

PTO/SB08 (2 Pgs.)

PTO/SB/08A (08-03)
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	INFORMATION DISCLOSURE	Filing Date		
	•	First Named Inventor	Gerald M. Goupil, Jr	
	STATEMENT BY APPLICANT	Art Unit		
	(Use as many sheets as necessary)	Examiner Name		
$\overline{}$	Sheet 1 of 2	Attorney Docket Number	DP-309551	

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.'	Document Number  Number-Kind Code <sup>2 (f Insum)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/DB/	<del>                                     </del>	US- 5,217,797	06/08/1993	Knox et al.	
/DB/		<sup>US-</sup> 5,230,937	07/27/1993	Effenberger et al.	
/DB/		<sup>US-</sup> 5,326,315	07/05/1994	Inoue et al.	
/DB/		<sup>US-</sup> 6,616,060 B2	09/09/2003	Parisi et al.	
/DB/		US- 2001/0034170 A1	10/25/2001	Keese	
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		FORE	IGN PATENT DOCL	JMENTS		
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		Country Code <sup>3</sup> "Number * "Kind Code <sup>3</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	To
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Examiner Signature	/Derek Boles/	Date Considered	05/08/2007

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. \*Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. \*Better Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT BY APPLICANT			Gerald M. Goupil, Jr	
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		NON PATENT LITERATURE DOCUMENTS	
Examiner   Cite   Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T²
DB	AA	Author: MANN INDUSTRIES, Entitled "MANN TECH" Copyright 1998	
DB	АВ	Author: Nonoyama et al. Entitled: "DEVELOPMENT OF A FILM DOOR TYPE AIR CONDITIONING UNIT" Pgs. 29 - 35, Copyright 1996	
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